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BARNES & THORNBURG LLP

600 One Summit Square
Fort Wayne, Indiana 46802
(260) 423-9440

**PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 23641
Application No.: 09/804667
Confirmation No.: 1994
Filing Date: March 12, 2001
Group Art Unit: 3624
Examiner Name: Jocelyn Greimel
Attorney Docket No.: 37168/82320
First Named Inventor: Schwartz, Denis G
Title: METHOD AND APPARATUS FOR PROVIDING RETIREMENT INCOME BENEFITS

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attention Certificate of Corrections Branch, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

on June 4, 2008

Bobby B. Gillenwater

Certificate

JUN 10 2008

of Correction

FEE TRANSMITTAL FOR FY 2007

TOTAL AMOUNT OF PAYMENT: \$ 100.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 CFR 1.16 and 1.17 and credit any overpayments to:

Deposit Account Number: 02-1010 (37168/82320)
Deposit Account Name: Barnes & Thornburg LLP

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Check** ☐ Credit Card ☐ Money Order ☐ Other ☐ None

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FEE CALCULATION

1. Basic Filing Fee:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1011	\$310.00	2011	\$155.00	Utility Filing Fee	
1012	\$210.00	2012	\$105.00	Design Filing Fee	
1017	\$210.00	2017	\$105.00	Design Filing Fee (CPA)	
1013	\$210.00	2013	\$105.00	Plant Filing Fee	
1014	\$310.00	2014	\$155.00	Reissue Filing Fee	
1005	\$210.00	2005	\$105.00	Provisional Filing Fee	
				SUBTOTAL (1)	0.00

2. Patent Search Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1111	\$510.00	2111	\$255.00	Utility Fee	
1112	\$100.00	2112	\$50.00	Design Fee	
1113	\$310.00	2113	\$155.00	Plant Fee	
1114	\$510.00	2114	\$255.00	Reissue Fee	
				SUBTOTAL (2)	0.00

3. Patent Examination Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1311	\$210.00	2311	\$105.00	Utility Examination Fee	
1312	\$130.00	2312	\$65.00	Design Examination Fee	
1313	\$160.00	2313	\$80.00	Plant Examination Fee	
1314	\$620.00	2314	\$310.00	Reissue Examination Fee	
				SUBTOTAL (3)	0.00

4. Extra Claim Fees:

		Extra Claims	Fee from Below	Fee Paid
Total Claims	_____ -20**=	_____ x	_____ =	0.00
Independent Claims	_____ - 3**=	_____ x	_____ =	0.00
Multiple Dependent Claim			_____ =	0.00
			SUBTOTAL (4)	0.00

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1202	\$50.00	2202	\$25.00	Claims in excess of 20
1201	\$210.00	2201	\$105.00	Independent claims in excess of 3
1203	\$370.00	2203	\$185.00	Multiple dependent claims, if not paid

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1204	\$210.00	2204	\$105.00	** Reissue independent claims over original patent
1205	\$50.00	2205	\$25	** Reissue claims in excess of 20 and over original patent

** or number previously paid, if greater; For Reissues, see above. For multiple dependent claims, pay fee only once no matter how many multiple dependent claims you have.

5. Application Size Fee

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250.00 (\$125.00 for small entity) for each additional 50 sheets or fraction thereof.

	Extra Sheets	Number of each additional 50 (Round up to whole number)	Fee from Below	Fee Paid
Total Sheets	<u> </u> - 100 = <u> </u> / 50 = <u> </u>	<u> </u>	<u> </u>	<u> </u>
			SUBTOTAL (5)	0.00

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1081	\$260.00	2081	\$130.00	Sheets in excess of 100

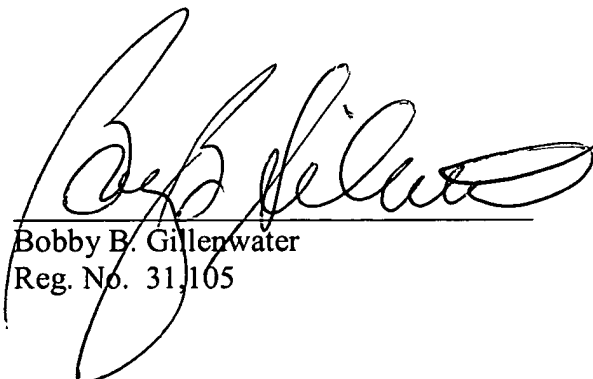
6. Additional Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1051	\$130.00	2051	\$65.00	Surcharge - late filing fee or oath	
1052	\$50.00	2052	\$25.00	Surcharge - late provisional filing fee or cover sheet	
1053	\$130.00	1053	\$130.00	Non-English specification	
1812	\$2,520.00	1812	\$2,520.00	For filing a request for ex parte reexamination	
1804	\$920.00*	1804	\$920.00*	Requesting publication of SIR prior to Examiner action	
1805	\$1,840.00*	1805	\$1,840.00*	Requesting publication of SIR after to Examiner action	
1251	\$120.00	2251	\$60.00	Extension for reply within first month	
1252	\$460.00	2252	\$230.00	Extension for reply within second month	
1253	\$1,050.00	2253	\$525.00	Extension for reply within third month	
1254	\$1,640.00	2254	\$820.00	Extension for reply within fourth month	
1255	\$2,230.00	2255	\$1,115.00	Extension for reply within fifth month	
1401	\$510.00	2401	\$255.00	Notice of Appeal	
1402	\$510.00	2402	\$255.00	Filing a brief in support of an appeal	
1403	\$1,030.00	2403	\$515.00	Request for oral hearing	
1451	\$1,510.00	1451	\$1,510.00	Petition to institute a public use proceeding	
1452	\$510.00	2452	\$255.00	Petition to revive - unavoidable	
1453	\$1,540.00	2453	\$770.00	Petition to revive - unintentional	
1501	\$1,440.00	2501	\$720.00	Utility issue fee	
1502	\$820.00	2502	\$410.00	Design issue fee	
1503	\$1,130.00	2503	\$565.00	Plant issue fee	
1504	\$300.00	1504	\$300.00	Publication Fee for early, voluntary, or	

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1460	\$130.00	1460	\$130.00	Petitions to the Commissioner	
1460	\$130.00	1460	\$130.00	Petitions to the Commissioner	
1807	\$50.00	1807	\$50.00	Processing fee for provisional applications	
1808	\$130.00	1808	\$130	Processing fee, except for provisional applications	
1806	\$180.00	1806	\$180.00	Submission of Information Disclosure Statement	
8021	\$40.00	8021	\$40.00	Recording each patent assignment per property (times number of properties)	
1809	\$810.00	2809	\$405.00	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	\$810.00	2810	\$405.00	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	\$810.00	2801	\$405.00	Request for Continued Examination (RCE)	
1802	\$900.00	1802	\$900.00	Request for expedited examination of a design application	
Other fee (specify):				Certificate of Correction	100.00
*Reduced by Basic Filing Fee Paid				SUBTOTAL (6)	0.00


 Bobby B. Gillerwater
 Reg. No. 31,105

Date: June 4, 2008

FWDS01 231652

(Rev. 04/06)

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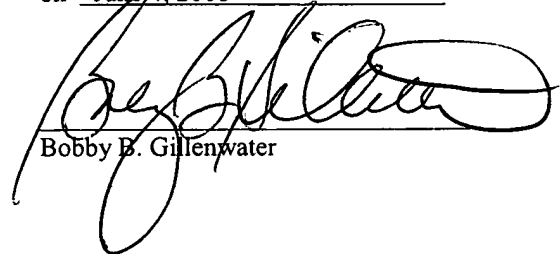
**PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Application No.: 09/804667
Confirmation No.: 1994
Filing Date: March 12, 2001
Group Art Unit: 3624
Examiner Name: Jocelyn Greimel
Attorney Docket No.: 37168/82320
First Named Inventor: Schwartz, Denis G
Title: METHOD AND APPARATUS FOR PROVIDING RETIREMENT INCOME BENEFITS

Certificate Under 37 CFR 1.8(a)

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on June 4, 2008


Bobby B. Gillenwater

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

ENCLOSURES (check all that apply)

- | | |
|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Express Abandonment Request |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Amendment/Response | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Response to Missing Parts/Incomplete Application |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |
| <input type="checkbox"/> Extension of Time Request | |

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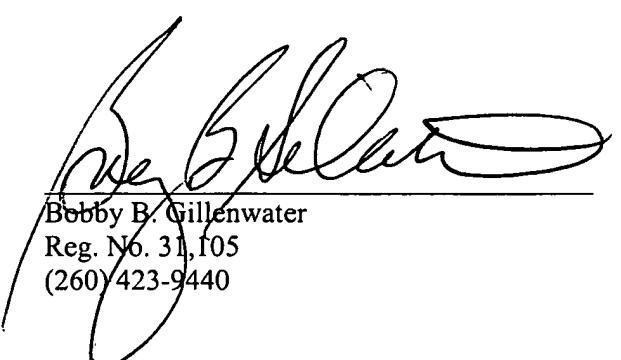
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
- ☐ After Allowance Communication to Group

- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

- (a) Check - \$100.00
- (b) Postcard
- (c) Request for Certificate of Correction Pursuant to 37 CFR 1.323
- (d) _____

Remarks:


Bobby B. Gillenwater
Reg. No. 31,105
(260) 423-9440

Date: June 4, 2008

FWDS01 BBG 231659
(Rev. 08/03)

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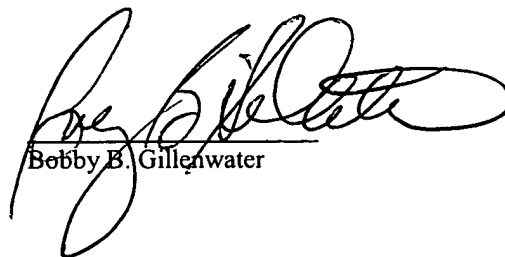
**PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 23641
Application No.: 09/804667
Issued
Patent No.: 7,376,608
Confirmation
No.: 1994
Filing Date: March 12, 2001
Attorney
Docket No.: 37168/82320
First Named
Inventor: Schwartz, Denis G.
Group Art
Unit: 3624
Examiner
Name: Jocelyn Greimel
Title: METHOD AND APPARATUS FOR
PROVIDING RETIREMENT
INCOME BENEFITS

Certificate Under 37 CFR 1.8(a)

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22313-1450

on June 4, 2008


Bobby B. Gillenwater

REQUEST FOR CERTIFICATE OF CORRECTION PURSUANT TO 37 CFR §1.323

06/06/2008 HAL144 00000029 7376608

01 FC:1811

100.00 0P

Commissioner of Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

A request is hereby made for issuance of a Certificate of Correction to U.S. Patent
No. 7,376,608. A clerical error which occurred when formal drawings were submitted with
payment of the issue fee resulted in published Figure 3 being labeled as and re-published as

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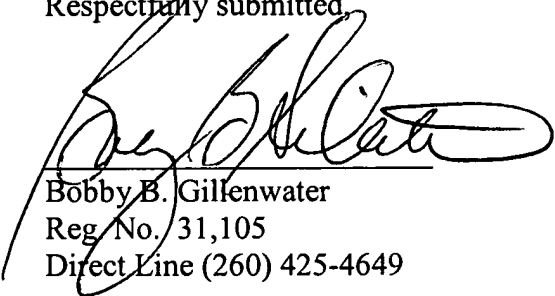
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Figure 7. The informal drawings as filed with the application originally were correct as to both Figures 3 and 7. Therefore, no new matter is being added to the application by this request.

A Certificate of Correction form PTO/SB/44 is attached to this request, indicating that Figure 7 of the published application has been replaced by the attached Figure 7. The attached Figure 7 corresponds to Figure 7 of the application as filed. The Certificate of Correction also identifies and corrects another typographic error in the specification.

The fee of \$100.00 set forth in 37 CFR §1.20(a) accompanies this request. To the extent additional fees are required, please charge the fees due in connection with the filing of this paper to Deposit Account No. 02-1010 (37168/82320) and please credit any excess fees to such deposit account.

Respectfully submitted,



Bobby B. Gillenwater
Reg. No. 31,105
Direct Line (260) 425-4649

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

Page 1 of 2

PATENT NO. : 7,376,608
APPLICATION NO.: 09/804,667
ISSUE DATE : May 20, 2008
INVENTOR(S) : Jeffrey K. Dellinger et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the drawings, replace Figure 7 with attached Figure 7.

In the specification, column 14, line 26, replace "FIG. 15"
with "FIG. 8"

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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